Research of the Microscopic Polarizability Tensors for the Third and Fourth-Order Nonlinear Spectroscopy

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Abstract. Knowledge of the ratios between different polarizability tensor elements of a chemical group in a molecule is crucial for quantitative interpretation and polarization analysis of its coherent anti-stokes raman spectra (CARS) and coherent anti-stokes hyper-raman spectra (CAHRS). The hyperpolarizability derivative model along with experimentally obtained Raman and Hyper-Raman depolarization ratios could be used to obtain such tensor ratios for the CH3, CH2, and CH groups. Such treatment can be used to quantitatively analyze and simulate the intensity and field polarization dependence in the CARS and CAHRS spectra for the symmetric and asymmetric stretching modes of CH3 groups, respectively.

Introduction

Higher-order nonlinear spectroscopic techniques, such as the third order Coherent Anti-Stokes Raman Spectroscopy(CARS) and the fourth order Coherent Anti-Stokes Hyper-Raman Spectroscopy (CAHRS) have been recently employed to investigate or imaging molecular interfaces and biological membranes[1-10]. Being Raman spectroscopy, the clear advantage of the CARS and the CAHRS is that they do not directly use the infrared laser pulses that generally have trouble to penetrate the condensed phase as in the ordinary IR or SFG vibrational spectroscopy, for obtaining the coherent molecular vibrational spectra of the buried interfaces and membranes. The fourth order CAHRS process is particularly interesting because it is also an interface specific process just as the SFG-VS. In addition, it is worth noting that Under the electric dipole approximation the CARS haven't surface selectivity, but it could study the films, and get the information about the structure and component of the films and so on.[11-13] But in the past two decades, the high order nonlinear spectroscopy are used to qualitative studied the molecules in the interface, and the quantitative study is to very hard.

In this article[14], we had discussed the various connection of the macroscopic susceptibility and the microscopic polarizability tensors of the third and fourth-order nonlinear spectroscopy of ordered molecular system, and calculate the expressions of them. But toward the CARS and the CAHRS, the number of independent elements of molecular microcosmic nonlinear hyperpolarizability $\beta_{i'j'k'T}$ and $\beta_{i'j'k'Tm'}$ are much toward the C_{3V} symmetry molecular.

Simplify the molecular microcosmic nonlinear hyperpolarizability of the CARS and CAHRS

Spectroscopy measures the interactions between electromagnetic fields and molecular system. Through spectroscopy, we are able to obtain information on molecular structure, conformation and dynamics. Naturally, the way in the application of spectroscopy lies in the way to obtain microscopic or molecular information from the macroscopic spectroscopic measurement.

The key for such quantitative interpretation lies on the ability to obtain or estimate the ratios between different microscopic polarizability $\beta_{i'j'k'l'}$ tensor elements of a chemical group in a molecule. So far, almost all of the efforts have focused on the stretching vibrational modes. Toward the CARS, fix one of the incident light's frequency ω_1 , and scan the other incident light's frequency ω_2 , when the difference of ω_1 and ω_2 equal to the inherent vibration frequency of the studied

molecular, the signal would be resonant enhanced and could get the corresponding vibration spectroscopy of the study molecular. The expression of the vibrationally resonant part of $\beta_{i'j'k'l'}$ is derived from the electric dipole approximation for the interaction of molecules and the light waves as follows[15]:

$$\beta_{ij'k''} = \sum_{\upsilon} \frac{\beta_{ij'k''}^{q,R}}{\omega_1 - \omega_2 - \omega_{\upsilon g} + i\Gamma_{\upsilon g}} \left(\rho_g - \rho_{\upsilon}\right) + \beta_{ij'k''}^{q,NR}$$
(1)

in which, the vibrationally resonant term $\beta_{i'i'k''}^{q,R}$ show as:

$$\beta_{ijkr}^{q,R} = -\frac{1}{\hbar} \times \sum_{n'} \frac{1}{\hbar} \left(\frac{\langle g | e \times r(\omega_{CARS})_{r} | n'' \rangle \langle n'' | e \times r(\omega_{1})_{j'} | v \rangle}{\omega_{CARS} - \omega_{n'g}} - \frac{\langle g | e \times r(\omega_{1})_{j'} | n' \rangle \langle n' | e \times r(\omega_{CARS})_{j'} | v \rangle}{\omega_{1} + \omega_{n'g}} \right)$$

$$\times \sum_{n'} \frac{1}{\hbar} \left(\frac{\langle v | e \times r(\omega_{1})_{k'} | n' \rangle \langle n' | e \times r(\omega_{2})_{j'} | g \rangle}{\omega_{1} - \omega_{n'g}} - \frac{\langle v | e \times r(\omega_{2})_{r'} | n' \rangle \langle n' | e \times r(\omega_{1})_{k'} | g \rangle}{\omega_{2} + \omega_{n'g}} \right)$$

$$(2)$$

$$\sum_{n'} \frac{1}{\hbar} \left(\frac{\langle v | e \times r(\omega_{1})_{k'} | n' \rangle \langle n' | e \times r(\omega_{2})_{j'} | g \rangle}{\omega_{1} - \omega_{n'g}} - \frac{\langle v | e \times r(\omega_{2})_{r'} | n' \rangle \langle n' | e \times r(\omega_{1})_{k'} | g \rangle}{\omega_{2} + \omega_{n'g}} \right)$$

$$(2)$$

$$(2)$$

$$(2)$$

$$(3)$$

$$(4)$$

$$(5)$$

Fig.1. (a): schematic transitions for coherent anti-stokes raman spectroscopy; (b): schematic transitions for coherent anti-stokes hyper-raman spectroscopy. $|g\rangle$ and $|\upsilon\rangle$ represent the ground state(real) and the vibration excited states(real), $|n'\rangle$, $|n''\rangle$ and $|n'''\rangle$ represent the arbitrary excited states(virtual or real), respectively.

in which, *i*', *j*', *k*' and *l*' represent the polarization of the radiation of frequency ω_{CARS} , ω_1 (transition from $|\upsilon\rangle$ state to $|n''\rangle$ state in the Fig.1), ω_1 (transition from $|\upsilon\rangle$ state to $|n'\rangle$ state in the Fig.1) and ω_2 , respectively; $\omega_{\upsilon g}$ and $\Gamma_{\upsilon g}$ are the frequency and the damping width of the vibrational transition from the electronic ground state $|g\rangle$ to upper vibrational level $|\upsilon\rangle$, respectively; ρ_g and ρ_{υ} are the fractional population of $|g\rangle$ and $|\upsilon\rangle$ levels, respectively. It is seen from Eq.(2) that $\beta_{ij'kl'}^{q,R}$ is the product of the (k'l') component of the Stokes Raman tensor $\partial \alpha_{kl'}^s / \partial Q_q$ for the $g \rightarrow \upsilon$ transition and the (i'j') component of the Anti-Stokes Raman tensor $\partial \alpha_{ll'}^a / \partial Q_q$ for the $\upsilon \rightarrow g$ transition[15-17]:

$$\beta_{ij'kl'}^{q,R} = -\frac{1}{2\omega_q \varepsilon_0} \frac{\partial \alpha_{ij'}^a}{\partial Q_q} \frac{\partial \alpha_{kl'}^s}{\partial Q_q} \approx -\frac{1}{2\omega_q \varepsilon_0} \left(\frac{\partial \alpha_{ij'}^s}{\partial Q_q}\right)^* \frac{\partial \alpha_{kl'}^s}{\partial Q_q}$$
(3)

in which,

$$\frac{\partial \alpha_{ij'}^{a}}{\partial \mathbf{Q}_{q}} = \sqrt{\frac{2\omega_{q}\varepsilon_{0}}{\hbar}} \sum_{n'} \frac{1}{\hbar} \left(\frac{\langle g | e \times r(\omega_{CARS})_{i'} | n'' \rangle \langle n'' | e \times r(\omega_{1})_{j'} | v \rangle}{\omega_{CARS} - \omega_{n'g}} - \frac{\langle g | e \times r(\omega_{1})_{j'} | n'' \rangle \langle n'' | e \times r(\omega_{CARS})_{i'} | v \rangle}{\omega_{1} + \omega_{n'g}} \right) (4)$$

$$\frac{\partial \alpha_{k'i'}}{\partial \mathbf{Q}_{q}} = \sqrt{\frac{2\omega_{q}\varepsilon_{0}}{\hbar}} \sum_{n'} \frac{1}{\hbar} \left(\frac{\langle v | e \times r(\omega_{1})_{k'} | n' \rangle \langle n' | e \times r(\omega_{2})_{i'} | g \rangle}{\omega_{1} - \omega_{n'g}} - \frac{\langle v | e \times r(\omega_{2})_{i'} | n'' \rangle \langle n' | e \times r(\omega_{1})_{k'} | g \rangle}{\omega_{2} + \omega_{n'g}} \right) (5)$$

here we have included the factor of ε_0 in the Eq.(3) because it is a convention issue.

The intensity of CARS signal of *q*th vibration mode is proportional to the square of $\beta_{lj'kl'}^{q,R}$, and Eq.(3) shows that we can estimate the relative magnitudes among vibration modes from the intensities of stokes and anti-stokes Raman spectra, and the superscripts 's' and 'a' denote the stokes and anti-stokes. we should note that we have omit the imaginary part of the denominator in the Eq.(4) and Eq.(5), so we could get that $\alpha_{lj'}^a = (\alpha_{lj'}^s)^*$ in Eq.(3), where $(\alpha_{lj'}^s)^*$ is the conjugate complex of $\alpha_{lj'}^s$. The Fig.(1-a) show the schematic transitions of the coherent anti-stokes raman spectroscopy, from which we also could get the conclusion that $\beta_{lj'kl'}^{q,R}$ is the product of the (k'l') component of the Stokes Raman tensor $\partial \alpha_{kl'}^s / \partial Q_q$ and the (i'j') component of the Anti-Stokes Raman tensor $\partial \alpha_{lj'}^a / \partial Q_q$.

From the above discuss, we could get the approaches employed to obtain the $\beta_{i'j'k'l'}$ tensor ratios are all based on the following general relationship between the third order hyperpolarizability tensors ($\beta_{i'j'k'l'}^{q,R}$) for a particular vibrational mode q to the anti-stokes Raman polarizability derivative tensors ($\partial \alpha_{i'j'}^{a} / \partial Q_q$, usually denoted as $\alpha_{i'j'}^{a}$) and the stokes Raman polarizability derivative tensors ($\partial \alpha_{i'j'}^{s} / \partial Q_q$, usually denoted as $\alpha_{i'j'}^{a}$) of the same (qth) mode. Therefore, the Eq.(3) could be express as:

$$\beta_{ij'kl'}^{q,R} = -\frac{1}{2\omega_q \varepsilon_0} \left(\alpha_{ij'}^{\prime s} \right)^* \alpha_{k'l'}^{\prime s}$$
(6)

Therefore, according to Eq.(6), if the proper ratios between different $\alpha_{ij'}^{\prime a}$ and $\alpha_{k'l'}^{\prime s}$ terms are known, the ratios of $\beta_{ij'k''}^{q,R}$ elements can be readily obtained.

At the same time, we should note that the stokes and anti-stokes Raman tensor elements in the Eq.(3) have the same transition frequency from the Fig.(1-a) and the Eq.(4) and Eq.(5), so them must correspond to the same molecular vibrational mode, symmetric or antisymmetric. If the connections of the Raman tensor elements of the symmetric and antisymmetric mode have be known, we could more simplify the connection of the CARS tensor elements of the symmetric and antisymmetric and antisymmetric mode, respectively.

And follow the Eq.(1) and Eq.(3), The microscopic polarizability $\beta_{i'j'k'l'm'}$ could show that [16,18]

$$\beta_{ij'kl'm'} = \sum_{\upsilon} \frac{\beta_{ij'kl'm'}^{q,\mathcal{R}}}{\omega_1 - \omega_2 - \omega_{\upsilon g} + i\Gamma_{\upsilon g}} \left(\rho_g - \rho_{\upsilon}\right) + \beta_{ij'kl'm'}^{q,\mathcal{NR}}$$
(7)

in which, the vibrationally resonant term $\beta_{i''k''m'}^{q,R}$ show as:

$$\beta_{ij'k'm'}^{q,R} = -\frac{1}{2\omega_q\varepsilon_0} \frac{\partial \beta_{ij'k'}^a}{\partial Q_q} \frac{\partial \alpha_{lm'}^s}{\partial Q_q} = -\frac{1}{2\omega_q\varepsilon_0} \beta_{ij'k'}^{\prime a} \alpha_{lm'}^{\prime s}$$
(8)

in which, $\alpha'^{s}_{l'm'}$ is the Stokes Raman tensor and $\beta'^{a}_{l'l'k'}$ is the Hyper-Raman tensor.

Simplify the Stokes Raman tensor and Hyper-Raman tensor

For the stretching vibrational modes of a molecular group with C_{3V} symmetry, show in the Fig.(2-a), there are a single symmetric mode (A_1) and a doubly degenerated asymmetric mode (E). The nonzero Raman tensor elements $\alpha'_{i'j'}$ for the C_{3V} symmetric stretching vibrational modes are as follows:

For the
$$C_{3V-A1}$$
 symmetric mode:
Raman: $\alpha_{aa}^{\prime SS} = \alpha_{bb}^{\prime SS} \left(= R_{SS}^R \alpha_{cc}^{\prime SS}\right), \ \alpha_{cc}^{\prime SS}$
(9)
For the C_{3V-E} symmetric mode:

Raman:
$$\alpha_{ac}^{\prime AS} = \alpha_{ca}^{\prime AS} = \alpha_{bc}^{\prime AS} = \alpha_{cb}^{\prime AS}, \ \alpha_{aa}^{\prime AS} = -\alpha_{bb}^{\prime AS} = -\alpha_{ab}^{\prime AS} = -\alpha_{ba}^{\prime AS} \left(= R_{AS}^R \alpha_{ac}^{\prime AS} \right)$$
(10)

where, R_{SS}^{R} and R_{AS}^{R} are two $\alpha'_{i'j'}$ elements ratios, which are the real number. And the nonzero Hyper-Raman tensor elements $\beta'_{i'j'k'}$ for the C_{3V} symmetric stretching vibrational modes are as follows:

For the C_{3V-A1} symmetric mode:

For the C_{3V-E} symmetric mode:

where, $R_{SS,1}^{HR}$, $R_{SS,2}^{HR}$, $R_{SS,3}^{HR}$, $R_{AS,1}^{HR}$, $R_{AS,2}^{HR}$, $R_{AS,3}^{HR}$, $R_{AS,4}^{HR}$ and $R_{AS,5}^{HR}$ are two $\beta'_{ij'k'}$ elements ratio, which are the real number.

Using the above methods, could get the Stokes Raman tensor and Hyper-Raman tensor of molecular group with C_{2V} symmetry and the $C_{\infty V}$ symmetry.



Fig.2. Molecule-fixed (a,b,c) axis for C_{3V} and C_{2V} symmetry. Illustrated with the AB_3 and AB_2 group.

Results

Using the connection of the Eq.(6) and Eq.(8) to Eq.(12), we could simplify the symmetric and antisymmetric $\beta_{i'j'k'l'm'}$ tensor elements for the C_{3V} symmetry molecule, and show the nonzero CARS and CAHRS tensor elements for the C_{3V} symmetric stretching vibrational modes are as follows:

For the
$$C_{3V-A1}$$
 symmetric mode:

$$\mathbf{CARS:} \ \beta_{aaaa}^{q,SS} = \beta_{bbbb}^{q,SS} = \beta_{aabb}^{q,SS} = \beta_{bbaa}^{q,SS} \left(=R_{SS}^{R,2}\beta_{cccc}^{q,SS}\right)$$

$$\beta_{aacc}^{q,SS} = \beta_{bbcc}^{q,SS} = \beta_{ccaa}^{q,SS} = \beta_{ccbb}^{q,SS} \left(=R_{SS}^{R}\beta_{cccc}^{q,SS}\right), \ \beta_{cccc}^{q,SS}$$

$$\mathbf{CAHRS:} \ \beta_{cccaa}^{q,SS} = \beta_{ccab}^{q,SS} \left(=R_{SS}^{R}\beta_{cccc}^{q,SS}\right), \ \beta_{caacc}^{q,SS} = \beta_{cbbcc}^{q,SS} \left(=R_{SS,3}^{R,S}\beta_{ccccc}^{q,SS}\right)$$

$$\beta_{caaaa}^{q,SS} = \beta_{ccabb}^{q,SS} = \beta_{cbbaa}^{q,SS} = \beta_{cbbcc}^{q,SS} \left(=R_{SS,3}^{R}\beta_{ccccc}^{q,SS}\right)$$

$$\beta_{caaaa}^{q,SS} = \beta_{caabb}^{q,SS} = \beta_{cbbbc}^{q,SS} = \beta_{cbbcc}^{q,SS} \left(=R_{SS,3}^{R}\beta_{ccccc}^{q,SS}\right)$$

$$\beta_{aaccc}^{q,SS} = \beta_{acacc}^{q,SS} = \beta_{bbccc}^{q,SS} = \beta_{bcbcc}^{q,SS} \left(=R_{SS,2}^{R,S}\beta_{ccccc}^{q,SS}\right), \ \beta_{ccccc}^{q,SS}$$

$$\beta_{aacaa}^{q,SS} = \beta_{acaca}^{q,SS} = \beta_{acaaa}^{q,SS} = \beta_{bcbcc}^{q,SS} \left(=R_{SS,2}^{R,SS}\beta_{ccccc}^{q,SS}\right), \ \beta_{ccccc}^{q,SS}$$

$$\beta_{acaaa}^{q,SS} = \beta_{acacaa}^{q,SS} = \beta_{acaaa}^{q,SS} = \beta_{bcbcc}^{q,SS} \left(=R_{SS,2}^{R,SS}\beta_{ccccc}^{q,SS}\right), \ \beta_{ccccc}^{q,SS} \left(=R_{SS,2}^{R,SS}\beta_{ccccc}^{q,SS}\right), \ \beta_{ccccc}^{q,SS} \left(=R_{SS,2}^{R,SS}\beta_{ccccc}^{q,SS}\right), \ \beta_{acaaa}^{q,SS} = \beta_{acabb}^{q,SS} = \beta_{acabb}^{q,SS} = \beta_{bcbca}^{q,SS} = \beta_{bcbcbb}^{q,SS} \left(=R_{SS,2}^{R,SS}\beta_{ccccc}^{q,SS}\right) (14)$$

For the C_{3V-E} symmetric mode:

$$\begin{aligned} \mathbf{CARS:} & \beta_{acca}^{q,AS} = \beta_{acca}^{q,AS} = \beta_{caac}^{q,AS} = \beta_{bcbc}^{q,AS} = \beta_{bbcb}^{q,AS} = \beta_{bbcb}^{q,AS} = \beta_{bbcb}^{q,AS} = \beta_{bbcb}^{q,AS} = \beta_{bbcb}^{q,AS} = \beta_{bbcb}^{q,AS} = \beta_{bbb}^{q,AS} = \beta_{bbb}^{q,AS} = \beta_{bbb}^{q,AS} = \beta_{bbbb}^{q,AS} = \beta_{bbab}^{q,AS} = \beta_{bbbb}^{q,AS} = \beta_{bbbb}^{q,AS} = \beta_{bbbb}^{q,AS} = \beta_{bbbb}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbbbc}^{q,AS} = \beta_{bbbbc}^{q,AS} = \beta_{bbbcb}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbcbcb}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbabc}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbbbc}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbbbcb}^{q,AS} = \beta_{bbbbcb}^{q,AS} = \beta_{bbcbb}^{q,AS} = \beta_{bbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbb}^{q,AS} = \beta_{bbbbb}^{q,AS} = \beta_{bbbbb}^{q,A$$

From the above discuss, we should note that the two elements $\beta_{ij'k''}^q$ and $\beta_{i'kj''}^q$ are unlikeness at some times, although *j* and *k* are denote the same frequency. Because the symmetry of the $\beta_{ij'k''}^q$ is decided to the symmetry of the $\alpha'_{ij'}$ and $\alpha'_{k''}$, when the symmetry of $\alpha'_{ij'}$ and $\alpha'_{k''}$ are unlikeness the symmetry of $\alpha'_{i'k'}$ and $\alpha'_{j''}$, the two elements $\beta_{i'j'k''}^q$ and $\beta_{i'k'j''}^q$ are unlikeness. For example, toward the C_{3V} symmetry molecule, β_{aacc}^q belong to the A_1 symmetric mode, and β_{acac}^q belong to the *E* symmetric mode.

Conclusion

Knowledge of the ratios between different polarizability tensor elements of a chemical group in a molecule is crucial for quantitative interpretation and polarization analysis of its coherent anti-stokes raman spectra (CARS) and coherent anti-stokes hyper-raman spectra (CAHRS). The hyperpolarizability derivative model along with experimentally obtained Raman and Hyper-Raman depolarization ratios could be used to obtain such tensor ratios for the CH3, CH2, and CH groups. Such treatment can be used to quantitatively analyze and simulate the intensity and field polarization dependence in the CARS and CAHRS spectra for the symmetric and asymmetric stretching modes of CH3 groups, respectively.

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