

# Facet-Dependent Hydrogenation of the Anatase TiO<sub>2</sub>

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**Abstract.**TiO<sub>2</sub>, known for its low cost and natural abundance, is a prominent semiconductor utilized in photocatalysis and solar energy storage applications. However, the substantial energy gap of 3.2 eV restricts its capacity to absorb sunlight. Hydrogenation, by introducing an amorphous layer, enhances the capture of light in the visible spectrum. The understanding of the hydrogenation mechanism is crucial for the development of black TiO<sub>2</sub> and its applications, especially the relationship between the hydrogenation process and the surface configuration. This review will present a comprehensive overview of the pertinent research findings within this domain.

Keywords: hydrogenation mechanism; TiO2; surface structure.

### 1 Introduction

Titanium dioxide (TiO<sub>2</sub>) finds extensive use in photocatalysis, wastewater treatment, reduction of carbon dioxide, catalytic H<sub>2</sub> production, and solar energy storage, owing to its superior optoelectronic properties<sup>1-4</sup>. However, wide bandgap limits its absorption of sunlight to the ultraviolet region. Black TiO<sub>2</sub> significantly expanded the absorption spectrum, due to the introduction of the defect states through hydrogenation<sup>5</sup>. Hydrogenation is closely related to the surface structure of TiO<sub>2</sub>. Therefore, understanding the intricate relationship between surface structure and the hydrogenation mechanism is essential for the study of black TiO<sub>2</sub>. In this paper, we strive to construct a detailed and comprehensive description of how surface structure impacts the hydrogenation process.

### 2 Facet-Dependent Hydrogenation

The surface exposed structure of anatase  $TiO_2$  is characterized by variations in the number of unsaturated coordinated oxygen (O) and titanium (Ti) atoms, which endow it with distinct properties. Previous studies have shown that different exposed surfaces of anatase  $TiO_2$  possess distinct surface energies, which influence their reactivity<sup>6</sup>. These energy differences are thought to modulate the surfaces' interactions with reactants, thus impacting reaction kinetics. The (001) surface's high reactivity towards water dissociation is attributed to the lower coordination of O atoms on this surface<sup>7</sup>. In

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contrast, the (101) facet demonstrates superior photocatalytic activity for  $CO_2$  reduction compared to the (001) facet<sup>8</sup>. The hydrogenation reaction of TiO<sub>2</sub> is closely associated with its surface structure, leading to two predominant theories explaining the mechanisms on differently exposed surfaces. The first theory proposes that the adsorption and diffusion energy barriers for hydrogen (H) within the TiO<sub>2</sub> lattice are major determinants of the reaction rate. In contrast, the second theory links hydrogenation kinetics to the formation energy and diffusion energy barriers of oxygen vacancies (Vo). Following sections will provide a detailed analysis of each theory.

#### 2.1 Anisotropic Diffusion of H

The H<sub>2</sub> transfer process is intricately linked to the surface structure of the TiO<sub>2</sub><sup>9, 10</sup>. The energy barriers for H transfer are influenced by the specific sites on the TiO<sub>2</sub> surface where the O atoms reside. For instance, O atoms with lower coordination numbers, such as those at the O<sub>2c</sub> position, may present different adsorption energies compared to those in more coordinated environments, like the O<sub>3c</sub> position<sup>11, 12</sup>. The formation of V<sub>OS</sub> during the hydrogenation process, a phenomenon observed in various studies, can also affect the diffusion pathways and energy barriers for H<sup>13</sup>. These vacancies can facilitate the movement of H species across the surface, potentially lowering the activation energy for certain steps in the hydrogenation process<sup>14, 15</sup>.

Sun et al. have demonstrated that hydrogen incorporation varies between the (101) and (001) facets of TiO<sub>2</sub> nanocrystals through experimental observations and density functional theory (DFT) calculations<sup>16</sup>. Hydrogen adsorption and desorption measurements suggest that the (101) surface promotes hydrogen incorporation more effectively than the (001) surface, due to its higher hydrogen retention capacity at 1.4% (Fig.1). DFT calculations indicate a reduced energy threshold for hydrogen uptake on the (101) surface, which is contrary to the calculations results of Wei's group<sup>15</sup>. They calculated that the (001) surface had the lowest activation and diffusion barrier, which was inconsistent with Sun's experimental results.



Fig. 1. Profiles of hydrogen adsorption on (a) (001) and (b) (101) surface. Reproduced with permission from Ref. 16. Copyright 2011, American Chemical Society.



**Fig. 2.** Lowest energy trajectories for H diffusion on the anatase TiO<sub>2</sub> (101) surface, following the [010] direction (green) and the [101] direction (blue), at a coverage of 1/6 ML. Reprinted with permission from ref. 17. Copyright 2012, The Royal Society of Chemistry.

Aschauer et al. calculated the minimum diffusion energy barriers for hydrogen along the [100] and [101] directions, as shown in Fig.  $2^{17}$ . The results reveal a minimal hydrogen diffusion energy barrier in the [010] direction, indicating anisotropic diffusion within the TiO<sub>2</sub> crystal lattice. Liu et al. (2022) demonstrated that H atoms on the anatase TiO<sub>2</sub> (101) surface exhibit the lowest energy barrier for hopping between adjacent oxygen atoms<sup>18</sup>. The varying surface structures, with different compositions of oxygen atoms, lead to distinct adsorption energies<sup>9</sup>. The arrangement of oxygen atoms in different directions from the surface to the subsurface influence hydrogen diffusion, contributing to the anisotropy of the hydrogenation process.

#### 2.2 Anisotropic Diffusion of Vo

During the hydrogenation process, a significant number of  $V_{OS}$  are generated, leading to an alternative hypothesis that posits the anisotropic diffusion of  $V_{OS}$  as the primary cause. Initially,  $V_{OS}$  are more prone to form at the  $O_{2c}$  sites, which have unsaturated coordination, as opposed to the  $O_{3c}$  atoms with saturated coordination<sup>19</sup>. The formation energy for these vacancies at the  $O_{2c}$  position is lower than that for the  $O_{3c}$  sites. Upon the formation of  $V_{OS}$ , they are observed to diffuse from the surface layer into the subsurface region<sup>20, 21</sup>. Consequently, the energy barrier associated with the diffusion of  $V_{OS}$  is proposed to be the underlying reason for the varying rates of hydrogenation observed on different exposed surfaces of  $TiO_2^{22}$ .



**Fig. 3.** Activation energies for the different diffusion pathways of the  $Vo^{23}$ . The purple ball represents the Vo position, and the orange arrow represents the direction of Vo diffusion.

Paris et al. conducted theoretical calculations on the diffusion energy of  $V_0$  along different directions<sup>23</sup>. Fig. 3 shows that the diffusion barrier along the  $V_{01}$  direction is the lowest at 0.26 eV, markedly lower than the 0.6 eV required for H diffusion. In 2018, the Selcuk group's kinetic calculations indicated that H movement in TiO<sub>2</sub> surface is isotropic, in contrast to the anisotropic diffusion of  $V_0^{24}$ . Fig. 4 illustrates the diffusion direction of  $V_0$  on different exposed surfaces, aligning with others group's calculated results<sup>25, 26</sup>.  $V_0$  are more likely to diffuse along the [010] direction, resulting in the  $V_0$  diffusion of (001) surface being parallel to the surface plane<sup>26</sup>. The diffusion energy of  $V_0$  follows the order: (010) > (101) > (001). Their calculations suggest that the diffusion energy of H atoms is significantly higher than that of  $V_0$ , indicating that  $V_0$  primarily influences the kinetics of surface hydrogenation. Additionally, several research groups have theoretically determined that  $V_0$ s on the anatase TiO<sub>2</sub> surface are more prone to diffusing from the surface to the subsurface, thereby enhancing the hydrogenation reaction<sup>27</sup>.



Fig. 4. Molecular-level specifics regarding the movement of Vos across various surfaces. Reprinted with permission from Ref. 24. Copyright 2018, Springer Nature.

## 3 Conclusions

Currently, there are two predominant theories about the hydrogenation mechanism of  $TiO_2$  with different exposed surfaces: hydrogen diffusion and oxygen vacancy diffusion. Theoretically, the (010) surface is predicted to have the highest hydrogenation reactivity, while the (001) surface is lowest. However, experimental support for these theories is scarce, highlighting the need for *in situ* experiments to confirm hydrogenation mechanisms. This kind of verification can deepen our comprehension of the formation process and aid in the advancement of material science based on black  $TiO_2$ .

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